# BEFORE THE PERSONNEL APPEALS BOARD STATE OF WASHINGTON

DARIN STONE,	)
Appellant,	) Case No. DISM-01-0098
V.	FINDINGS OF FACT, CONCLUSIONS OF LAW AND ORDER OF THE BOARD
DEPARTMENT OF CORRECTIONS,	
Respondent.	

### I. INTRODUCTION

- 1.1 **Hearing.** This appeal came on for a hearing before the Personnel Appeals Board, WALTER T. HUBBARD, Chair, and RENÉ EWING, Member. The hearing was held at the Washington Corrections Center for Women in Gig Harbor, Washington, on November 12 and 13, 2002.
- 1.2 **Appearances.** Appellant Darin Stone was present and was represented William M. Wood, Jr., Attorney at Law of Meilke & Wood. Valerie B. Petrie, Assistant Attorney General, represented Respondent Department of Corrections.
- 1.3 **Nature of Appeal.** This is an appeal of a disciplinary sanction of dismissal for neglect of duty, gross misconduct and willful violation of published employing agency or department of personnel rules or regulations. Respondent alleged that Appellant engaged in a personal relationship with an inmate, gave inmates gifts, food and cigarettes, and engaged in conversations of a personal nature with inmates.

of a personal nature with inmates

1.4 **Citations Discussed.** WAC 358-30-170; <u>Baker v. Dep't of Corrections</u>, PAB No. D82-084 (1983); <u>McCurdy v. Dep't of Social & Health Services</u>, PAB No. D86-119 (1987); <u>Rainwater v. School for the Deaf</u>, PAB No. D89-004 (1989); <u>Skaalheim v. Dep't of Social & Health Services</u>, PAB No. D93-053 (1994).

#### II. FINDINGS OF FACT

2.1 Appellant Darin Stone was a Correctional Officer (CO) 2 and a permanent employee of Respondent Department of Corrections (DOC) at the Washington Corrections Center for Women (WCCW). Appellant and Respondent are subject to Chapters 41.06 and 41.64 RCW and the rules promulgated thereunder, Titles 356 and 358 WAC. Appellant filed a timely appeal with the Personnel Appeals Board on December 31, 2001.

2.2 By letter dated December 13, 2001, Belinda Stewart, Superintendent of WCCW, notified Appellant of his dismissal, effective December 29, 2001. Ms. Stewart charged Appellant with neglect of duty, gross misconduct and willful violation of published employing agency or department of personnel rules or regulations. Ms. Stewart alleged that Appellant gave cigarettes to inmates; engaged in a personal relationship with inmate Ryan; gave inmate Ryan a bottle of "Red Door" perfume, three packages of Tarot cards, and a gold tone charm; wrote her letters personally and greeting cards; gave inmate Carver food from McDonalds; and engaged in conversations of a personal nature with inmates.

2.3 WCCW policies and expectations address staff relationships with inmates. Staff are prohibited from showing favoritism to offenders, from engaging in personal communications or relationships with offenders, and from giving gifts to offenders. Staff are required to be impartial to

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offenders and to serve offenders with appropriate concern for their welfare and with no purpose of personal gain. Staff are prohibited from bringing contraband into the institution.

2.4 Appellant began employment with DOC in November 1997. He began employment at WCCW in June 1999. Appellant was aware of WCCW's policies and expectations and of his duty to abide by agency policies and procedures. Appellant had a history of corrective actions including a July 18, 2001, memorandum of counseling for allowing inmate Ryan to work, without prior written authorization, in the clinic where he was on duty.

2.5 Appellant worked the graveyard shift. He was assigned to work in the clinic and to perform Response and Movement (R&M) duties throughout the institution. Inmate Ryan worked in the clinic performing janitorial duties during the graveyard shift. Appellant knew inmate Ryan from her work in the clinic and from his work as an R&M officer.

As an R&M officer, Appellant took inmates, including inmate Ryan, on smoking breaks. Appellant admits that he gave inmate Ryan a cigarette on two occasions. He also admits that on one occasion, he shared coffee from his personal thermos with inmate Ryan and inmate Quezada while they were working in the clinic.

2.7 While Appellant admits that he gave cigarettes to inmates, he denies the remaining allegations against him. Furthermore, Inmate Ryan denies having a personal relationship with Appellant. We have carefully weighed the testimony of the witnesses and reviewed the documentary evidence in this case. We find that with the exception of Appellant and inmate Ryan, the witnesses in this case have no motive to fabricate their versions of events; therefore we find their testimony credible. On the other hand, Appellant and inmate Ryan have reason to fabricate

their stories and their stories have been inconsistent and contradictory. As a result, we find that more likely than not the follow events occurred.

2.8 On June 11 and 12, 2001, Appellant was assigned to escort inmate Carver to Saint Joseph's Hospital. CO Juliana Harvell was also assisting with the escort. CO Harvell credibly testified that while at the hospital on June 11, Appellant gave Carver a cigarette to smoke. In addition, Appellant gave Carver a candy bar that he had purchased for his personal consumption and food he purchased from McDonalds fast food restaurant.

2.9 Inmates Ryan, Bales, and Walker were friends. Inmate Ryan told Bales and Walker that she and Appellant had a personal relationship that included hugging, kissing and oral sex, and that he gave her gifts, including "Red Door" perfume, a gold charm, personal cards, notes and letters, and three packages of Tarot cards. Ryan also told inmates Carver and Berry about her relationship with Appellant.

2.10 July 14, 2001, was Ryan's scheduled day off from the clinic. However, Appellant allowed her to work even though she did not have prior written permission to do so. Subsequently, Ryan was placed in segregation. On July 18, 2001, Appellant received a memorandum of counseling for allowing Ryan to work in the clinic on a night that she was not scheduled to work. Appellant did not file a grievance about the counseling memorandum. Instead, on his personal time after his regularly scheduled work shift, Appellant went to segregation on two separate occasions to obtain a witness statement from Ryan.

2.11 CO Keisha Channel was aware of rumors that Appellant and Ryan had a personal relationship. CO Channel personally observed Appellant frequently interacting with Ryan and

taking her out on smoking breaks. In addition, she observed him take other inmates out for smoking breaks. On July 29, 2001, CO Channel warned Appellant about the rumors. Appellant 2 seemed surprised to hear about the rumors, but CO Channel continued to believe that there was 3 something "weird" about Appellant's interactions with Ryan. On July 30, 2001, CO Channel wrote 4 an incident report about the interactions she observed between Appellant and Ryan. 5

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2.12 Ryan and inmate Berry were roommates. Inmate Berry knew that relationships between inmates and staff were prohibited. Inmate Ryan told inmate Berry that she and Appellant had a personal relationship, that she was in love with Appellant, and that he would help raise Ryan's daughter if she wanted him to. When Ryan was placed in segregation, she asked Berry to hold contraband for her, but Berry refused. Ryan then asked inmate Bales to hold the contraband, which she did. Subsequently, Berry reported to Correctional Unit Supervisor Daniel Fitzpatrick that Appellant and inmate Ryan were having a relationship.

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2.13 Superintendent Stewart was informed of the allegations against Appellant. She instructed Chief Investigator Steven Baxter to conduct an initial fact finding investigation.

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Mr. Baxter interviewed inmate Berry and other inmates as their names were disclosed. In addition, on August 30, 2001, he conducted a search of Bales' cell. The search produced the bottle of "Red Door" perfume believed to belong to Ryan. The perfume was considered contraband because it was not available through the institution store. Inmate Bales was interviewed on August 31, 2001, and she confirmed that the contraband belonged to Ryan.

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> 2.15 When Mr. Baxter asked Bales whether Appellant and Ryan had a personal relationship, she refused to discuss it until she had an opportunity to talk to inmate Walker. Bales and Walker

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thought that Appellant and Ryan would tell the truth about their relationship if they asked them to. Therefore, they wrote a letter to Appellant and a letter to Ryan asking them to do the right thing. On September 2, 2001, after hearing that Appellant and Ryan had denied having a personal relationship, Bales and Walker approached Appellant's wife, also a CO at WCCW, and told her about the relationship. They showed her a gold charm, personal cards and letters, and Tarot cards that they said Appellant had given Ryan. Bales and Walker were subsequently disciplined for withholding information during the investigation.

2.16 Bales and Walker were upset that Appellant and Ryan did not tell the truth. Walker spoke with Mr. Baxter and told him that Appellant told her he cared for Ryan and had written to her using the name "Adam." Walker also said that Appellant shared personal information with her including that he and another CO were drinking buddies and that they lived next door to each other.

2.17 On September 13, 2001, an Employee Conduct Report was initiated against Appellant. Daniel Fitzpatrick, Correctional Unit Supervisor, completed the ECR investigation on October 3, 2001. The ECR and supporting documentation was forwarded to Superintendent Stewart. After receiving Mr. Fitzpatrick' report, Ms. Stewart asked him to do a supplemental investigation to ensure that the information presented was accurate and complete.

2.18 Following the investigation, Ms. Stewart met with Appellant and his representative on November 2, 2001. She found that Appellant provided her with inconsistent information and that his responses to the charges and reasons for his actions did not make sense. Following the meeting, Ms. Stewart concluded that misconduct occurred. Ms. Stewart determined that Appellant neglected his duty when he engaged in an inappropriate relationship with Ryan, gave cigarettes and other contraband to inmates, and shared personal information with inmates. Ms. Stewart felt that

Appellant was being dishonest and that in spite of warnings about the rumors, he continued to find reasons to be with Ryan. Ms. Stewart concluded that Appellant disregarded institution policies, brought contraband into the institution, compromised his safety and the safety of the institution and showed favoritism to inmates. She also felt that Appellant destroyed his credibility and his ability to enforce the institution's rules and to act as a role model to inmates and other staff. Ms. Stewart concluded that dismissal was the appropriate sanction.

2.19 Throughout the disciplinary investigation and during the hearing before the Board, Ryan stated consistently that her boyfriend's name was Adam. One of the personal notes in Ryan's possession was a calendar page torn from a desk calendar. Ryan testified that the calendar page was mailed to her from Adam and that he wrote the personal notation on the calendar page. Appellant also testified that he took the calendar page and wrote the personal notation on the calendar page. We find that a preponderance of the credible evidence establishes that Appellant used the pseudonym "Adam" when corresponding with Ryan. We also find that a preponderance of the credible evidence establishes that Appellant gave contraband to inmates, shared personal information with inmates, and engaged in an inappropriate personal relationship with Ryan.

2.20 DOC Policy 801.005 addresses employee relationships with offenders and states, in relevant part:

Professional relationships with offenders and their family members, and close personal associates must be conducted in a manner consistent with state law, prudent correctional practice, and Department policies. Employees shall use caution when dealing with offenders and/or their family members or associates and shall be mindful of the appearance of improper association. Employees are expected to manage their interactions with these individuals in a professional manner at all times and to treat them with dignity and respect.

1. Favoritism/Professionalism

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A. While employees must recognize the individuality of offenders, they must do so without showing favoritism. . . . Reactions to offenders must always be professional and not affected by personal or other subjective issues. . . .

#### II. Association with Offenders

A. Association with offenders, beyond that which is required in the performance of official duties with the Department, is prohibited in the interest of professional unbiased service. Personal communications and/or relationships between employees and offenders, their family members, or close personal associates are not appropriate and are prohibited.

## III. Gratuities

A. No employee may give or accept gifts, gratuities or favors, barter, or have any financial dealings with or for an offender . . . without the written approval of the appointing authority.

## IV. Messages and Articles of Property

A. Employees may not engage in the transmission of messages, mail, or articles of property for or to offenders, their family members, or close personal associates, except as part of their official duties.

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#### VII. Sanctions

A. Violations of the prohibitions stated in this policy may result in corrective or disciplinary action up to and including dismissal . . . .

#### III. ARGUMENTS OF THE PARTIES

Ryan, that he sought opportunities to spend time with her, that he showed favoritism toward her, and that he gave her personal items as gifts. In addition, Respondent argues that Appellant gave cigarettes and food to other inmates and discussed his personal life with them. Respondent contends that Ryan lied to protect Appellant and that Appellant's description of events and explanations for his behavior were inconsistent. Respondent asserts that the calendar page is a

"smoking gun" and proves that Appellant was "Adam." Respondent further asserts that, with the exception of Ryan, the inmates' testimony was consistent and they had no motive to lie. Respondent argues that Appellant engaged in a pattern of inappropriate behavior with inmates, his behavior constituted a neglect of duty and violation of policy, and his behavior rose to the level of gross misconduct. Respondent contends that Appellant lost his credibility at the institution and his behavior could not be tolerated. Therefore, Respondent asserts that dismissal was the appropriate disciplinary sanction.

3.2 Appellant asserts that Ryan wanted to feel important among other inmates so she concocted a story that snowballed and resulted in the disciplinary action against Appellant. Appellant asserts that Ryan had a motive to withhold information about her acquisition of the items because if she admitted that she stole the items, she would have been placed in segregation. Appellant argues that his discipline was based on rumor and innuendo and that the matter could have been resolved if Ryan had told the truth. Appellant argues that no witnesses saw him engaged in inappropriate sexual conduct with Ryan and that no witnesses saw him give her personal gifts. Appellant further argues that the inmate witnesses were threatened to testify in Respondent's favor. Appellant asserts that he should not receive formal discipline for his admitted behavior of giving inmates cigarettes, candy and coffee because giving these items to inmates is a common practice at WCCW and is a practice that is allowed at other DOC facilities. Appellant argues that Respondent failed to meet its burden of proof and that the disciplinary sanction should be reversed.

### IV. CONCLUSIONS OF LAW

4.1 The Personnel Appeals Board has jurisdiction over the parties hereto and the subject matter herein.

4.2 In a hearing on appeal from a disciplinary action, Respondent has the burden of supporting the charges upon which the action was initiated by proving by a preponderance of the credible evidence that Appellant committed the offenses set forth in the disciplinary letter and that the sanction was appropriate under the facts and circumstances. WAC 358-30-170; Baker v. Dep't of Corrections, PAB No. D82-084 (1983).

4.3 Neglect of duty is established when it is shown that an employee has a duty to his or her employer and that he or she failed to act in a manner consistent with that duty. McCurdy v. Dep't of Social & Health Services, PAB No. D86-119 (1987).

4.4 Gross misconduct is flagrant misbehavior which adversely affects the agency's ability to carry out its functions. Rainwater v. School for the Deaf, PAB No. D89-004 (1989). Flagrant misbehavior occurs when an employee evinces willful or wanton disregard of his/her employer's interest or standards of expected behavior.

4.5 Willful violation of published employing agency or institution or Personnel Resources Board rules or regulations is established by facts showing the existence and publication of the rules or regulations, Appellant's knowledge of the rules or regulations, and failure to comply with the rules or regulations. A willful violation presumes a deliberate act. Skaalheim v. Dep't of Social & Health Services, PAB No. D93-053 (1994).

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4.6 Respondent has met its burden of proof that Appellant neglected his duty, willfully violated agency policy, and that his actions rose to the level of gross misconduct. A preponderance of the credible evidence establishes that Appellant neglected his duty when he engaged in a personal relationship with Ryan, gave Ryan personal gifts and cigarettes, shared personal information with

1	inmates, and gave inmates food and cigarettes. Appellant's actions were contrary to agency policies
2	and expectations. Appellant's behavior compromised his ability to function as a CO, violated the
3	trust placed in him by the institution, and therefore, rose to the level of gross misconduct.
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5	4.7 Under the totality of the proven facts and circumstances, Respondent has met its burden of
6	proving the charges in the disciplinary letter. In light of the egregious nature of Appellant's
7	misconduct, Respondent has established that the disciplinary sanction of dismissal is appropriate.
8	Therefore, the appeal should be denied.
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10	V. ORDER
11	NOW, THEREFORE, IT IS HEREBY ORDERED that the appeal of Darin Stone is denied.
12	DATED this, 2002.
13	WASHINGTON STATE PERSONNEL APPEALS BOARD
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